

Giles's Game and the Proof Theory of Łukasiewicz Logic

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Joint work with Christian G. Fermüller

Proof and Dialogues

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A First Dialogue

You (Chris)

There seems to be no “good” proof system for **Łukasiewicz logic**...

Nice! But what do hypersequents *mean* in this system?

Perhaps **dialogue games** provide an answer?

(**Daniele**: Have you considered these papers by Robin Giles?)

Aha! Hypersequent proofs are *strategies* in **Giles’s game**.

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- What is **Giles's game**?
- How does it relate to **Łukasiewicz logic**?
- How does it relate to the **proof theory** of Łukasiewicz logic?
- What more can be done with this approach?

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An Overview of the Game

In the 1970s, Robin Giles introduced a two-player dialogue game

You claim...

$\varphi_1, \dots, \varphi_n$

I claim...

ψ_1, \dots, ψ_m

consisting of two parts...

- 1 **Atomic statements** refer to experiments with a fixed probability of a positive result, and the players pay 1€ to their opponent for each incorrect statement – the winner *expects* not to lose money.
- 2 **Compound statements** are attacked or granted by the opposing player based on natural dialogue rules.

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Elementary States

Atoms a, b are propositional variables p, q representing atomic statements, and the constant \perp representing a statement that is always false.

Each atom a may be read as

“the (repeatable) elementary (yes/no) experiment E_a yields a positive result.”

Elementary states consist of a multiset of atoms $[a_1, \dots, a_m]$ asserted by *you* and a multiset of atoms $[b_1, \dots, b_n]$ asserted by *me*, written

$$[a_1, \dots, a_m \parallel b_1, \dots, b_n].$$

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For every run of the game, a fixed **risk value** $\langle q \rangle \in [0, 1]$ is associated with each variable q , where $\langle \perp \rangle = 1$.

The **risk** associated with a multiset of atoms is then

$$\langle [a_1, \dots, a_m] \rangle = \langle a_1 \rangle + \dots + \langle a_m \rangle.$$

I.e., my risk corresponds to the amount that I *expect* to pay to you.

For an elementary state $[a_1, \dots, a_m \parallel b_1, \dots, b_n]$,

$$\langle a_1, \dots, a_m \rangle \geq \langle b_1, \dots, b_n \rangle$$

expresses that I do not expect any loss (possibly some gain).

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An Example

Consider the elementary state

$$[p \parallel q, q].$$

The experiment E_p has to be performed once and E_q twice. If, e.g., all three outcomes are negative, then I owe you 2€ and you owe me 1€.

For $\langle p \rangle = \langle q \rangle = 0.5$, I expect an average *loss* of 0.5€.

For $\langle p \rangle = 0.8$ and $\langle q \rangle = 0.3$, I expect an average *gain* of 0.2€.

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Compound Statements and Dialogue States

Compound statements are represented by **formulas** built (for now) from variables, the constant \perp , and the binary connective \rightarrow .

We can also consider the connectives \wedge , \vee , and \odot ; however, in Łukasiewicz logic these are definable using \rightarrow and \perp .

Dialogue states (d-states) consist of finite multisets $[\varphi_1, \dots, \varphi_n]$ and $[\psi_1, \dots, \psi_n]$ of formulas asserted by *you* and *me*, respectively, written

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The **dialogue rule for implication** is:

If I assert $\varphi \rightarrow \psi$, then whenever you choose to attack this statement by asserting φ , I must assert also ψ . (And vice versa, i.e., for the roles of me and you switched.)

A player may also choose to never attack the opponent's assertion of $\varphi \rightarrow \psi$.

A **round** with **initiator** α and **respondent** β is a transition from one d-state to a **successor d-state** consisting of two **moves**:

- 1 α chooses one of the formulas $\varphi \rightarrow \psi$ asserted by β .
- 2 Either α attacks $\varphi \rightarrow \psi$ by asserting φ , and β must assert ψ , or α grants $\varphi \rightarrow \psi$ (will never attack that occurrence.)
The occurrence of $\varphi \rightarrow \psi$ is removed from the assertions of β .

We make use of **intermediary states (i-states)**, denoting the initiator's choice of the formula that gets attacked or granted by underlining.

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Implication Rules

$$\frac{[\Gamma \parallel \Delta, \varphi \rightarrow \psi]}{[\varphi, \Gamma \parallel \Delta, \psi] \quad [\Gamma \parallel \Delta]}$$

$$\frac{[\varphi \rightarrow \psi, \Gamma \parallel \Delta]}{[\psi, \Gamma \parallel \Delta, \varphi]}$$

$$\frac{[\varphi \rightarrow \psi, \Gamma \parallel \Delta]}{[\Gamma \parallel \Delta]}$$

Whose Turn Is It?

A **regulation** ρ maps non-elementary d-states to a label **Y** or **I**, meaning “You / I initiate the next round.”

A regulation is **consistent** if a d-state is mapped to **Y** (or **I**) only when an initiating move is possible for you (or me).

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A **game form** $\mathbf{G}([\Gamma \parallel \Delta], \rho)$ is a *tree of states* where

- the *root* is the initial d-state $[\Gamma \parallel \Delta]$
- the *successor nodes* to any state S are the states resulting from legal moves at S according to the consistent regulation ρ
- the *leaf nodes* are the reachable elementary states.

A **game** consists of a game form $\mathbf{G}([\Gamma \parallel \Delta], \rho)$ together with a risk assignment $\langle \cdot \rangle$, and a **run** of the game is a branch of $\mathbf{G}([\Gamma \parallel \Delta], \rho)$.

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Example

If it is *my* turn to move in the d-state $[p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]$, then I must either attack or grant your statement $p \rightarrow q$, giving

$$\begin{array}{ccc} [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^I & \text{or} & [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^I \\ \mid & & \mid \\ [\underline{p \rightarrow q} \parallel a \rightarrow b, c \rightarrow d]^I & & [\underline{p \rightarrow q} \parallel a \rightarrow b, c \rightarrow d]^I \\ \mid & & \mid \\ [q \parallel p, a \rightarrow b, c \rightarrow d] & & [\parallel a \rightarrow b, c \rightarrow d]. \end{array}$$

Example

If it is *my* turn to move in the d-state $[p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^l$, then I must either attack or grant your statement $p \rightarrow q$, giving

$$\begin{array}{c} [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^l \\ | \\ [\underline{p \rightarrow q} \parallel a \rightarrow b, c \rightarrow d]^l \\ | \\ [q \parallel p, a \rightarrow b, c \rightarrow d] \end{array} \quad \text{or} \quad \begin{array}{c} [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^l \\ | \\ [\underline{p \rightarrow q} \parallel a \rightarrow b, c \rightarrow d]^l \\ | \\ [\parallel a \rightarrow b, c \rightarrow d]. \end{array}$$

Example (Continued)

If it is *your* turn to move, there are four possibilities:

$$\begin{array}{c} [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^Y \\ | \\ [p \rightarrow q \parallel \underline{a \rightarrow b}, c \rightarrow d]^Y \\ | \\ [p \rightarrow q, a \parallel b, c \rightarrow d] \end{array} \quad \text{or} \quad \begin{array}{c} [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^Y \\ | \\ [p \rightarrow q \parallel \underline{a \rightarrow b}, c \rightarrow d]^Y \\ | \\ [p \rightarrow q \parallel c \rightarrow d] \end{array}$$

$$\text{or} \quad \begin{array}{c} [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^Y \\ | \\ [p \rightarrow q \parallel a \rightarrow b, \underline{c \rightarrow d}]^Y \\ | \\ [p \rightarrow q, c \parallel a \rightarrow b, d] \end{array} \quad \text{or} \quad \begin{array}{c} [p \rightarrow q \parallel a \rightarrow b, c \rightarrow d]^Y \\ | \\ [p \rightarrow q \parallel a \rightarrow b, \underline{c \rightarrow d}]^Y \\ | \\ [p \rightarrow q \parallel a \rightarrow b]. \end{array}$$

Suppose that a run of $\mathbf{G}([\Gamma \parallel \Delta], \rho)$ with risk assignment $\langle \cdot \rangle$ ends with the elementary state $[a_1, \dots, a_m \parallel b_1, \dots, b_n]$.

I **win** in that run if I do not expect any loss resulting from betting on the corresponding elementary experiments, i.e., if

$$\langle a_1, \dots, a_m \rangle \geq \langle b_1, \dots, b_n \rangle.$$

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A **strategy (for me)** is obtained from a game form by (iteratively from the root) deleting all but one successor of every state labelled **I**.

A strategy for a game form $\mathbf{G}([\Gamma \parallel \Delta], \rho)$ is a **winning strategy (for me) for a risk assignment** $\langle \cdot \rangle$ if $\langle a_1, \dots, a_m \rangle \geq \langle b_1, \dots, b_n \rangle$ holds for each of its leaf nodes $[a_1, \dots, a_m \parallel b_1, \dots, b_n]$.

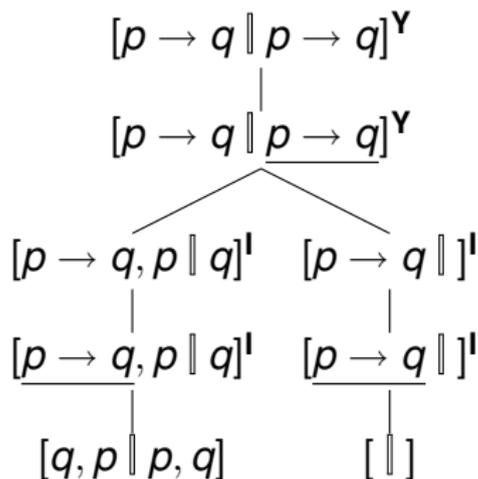
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Example (1)

Consider a game form $\mathbf{G}([p \rightarrow q \parallel p \rightarrow q], \rho)$.

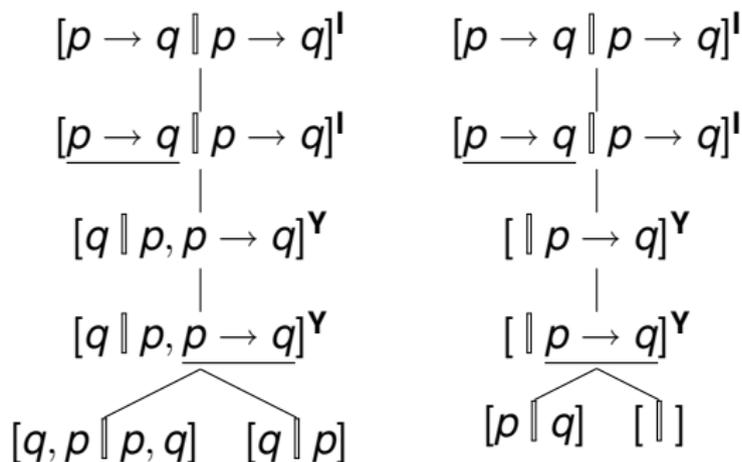
If $\rho([p \rightarrow q \parallel p \rightarrow q]) = \mathbf{Y}$, then the strategy



is winning for *any* risk assignment $\langle \cdot \rangle$

Example (2)

However, if $\rho([p \rightarrow q \parallel p \rightarrow q]) = \mathbf{I}$, then the strategies



are winning only if $\langle q \rangle \geq \langle p \rangle$ and $\langle p \rangle \geq \langle q \rangle$, respectively.

Other Connectives

$$\frac{[\Gamma \mid \Delta, \varphi \vee \psi]}{[\Gamma \mid \Delta, \varphi]}$$

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$$\frac{[\Gamma \mid \Delta, \varphi \odot \psi]}{[\Gamma \mid \Delta, \varphi, \psi]}$$

$$\frac{[\Gamma \mid \Delta, \varphi \odot \psi]}{[\Gamma \mid \Delta, \perp]}$$

$$\frac{[\varphi \odot \psi, \Gamma \mid \Delta]}{[\varphi, \psi, \Gamma \mid \Delta] \quad [\perp, \Gamma \mid \Delta]}$$

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Formulas are built using \rightarrow and \perp , and we also define:

$$\begin{array}{ll} \neg\varphi & = \varphi \rightarrow \perp & \varphi \odot \psi & = \neg(\varphi \rightarrow \neg\psi) \\ \varphi \vee \psi & = (\varphi \rightarrow \psi) \rightarrow \psi & \varphi \wedge \psi & = \neg(\neg\varphi \vee \neg\psi). \end{array}$$

An **\mathbb{L} -valuation** is a function v from formulas to $[0, 1]$ satisfying

$$v(\perp) = 0 \quad \text{and} \quad v(\varphi \rightarrow \psi) = \min(1, 1 - v(\varphi) + v(\psi))$$

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A formula φ is **\mathbb{L} -valid** if $v(\varphi) = 1$ for all \mathbb{L} -valuations v .

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Theorem (Giles)

The following are equivalent for any formula φ :

- 1 φ is \mathcal{L} -valid.
- 2 I have a winning strategy for the game $\mathbf{G}([\|\varphi], \rho)$ with any risk assignment $\langle \cdot \rangle$, where ρ is an arbitrary consistent regulation.

A **state disjunction** is written

$$D = S_1 \vee \dots \vee S_n.$$

A **disjunctive strategy** for D respecting a regulation ρ is a tree of state disjunctions with root D and two kinds of non-leaf nodes

- 1 **Playing nodes**, focussed on some component S_i of D , where the successor nodes are like those for S_i in strategies, except for the presence of additional components (that remain unchanged).
- 2 **Duplicating nodes**, where the single successor node is obtained by duplicating one of the components in D .

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Disjunctive Winning Strategies

A disjunction of elementary states D is **winning (for me)** if for *every* risk assignment $\langle \cdot \rangle$

$$\langle a_1, \dots, a_m \rangle \geq \langle b_1, \dots, b_n \rangle$$

for some $[a_1, \dots, a_m \parallel b_1, \dots, b_n]$ in D .

A **disjunctive winning strategy (for me)** for $\mathbf{G}([\Gamma \parallel \Delta], \rho)$ is a disjunctive strategy such that every leaf node is winning.

Disjunctive Winning Strategies

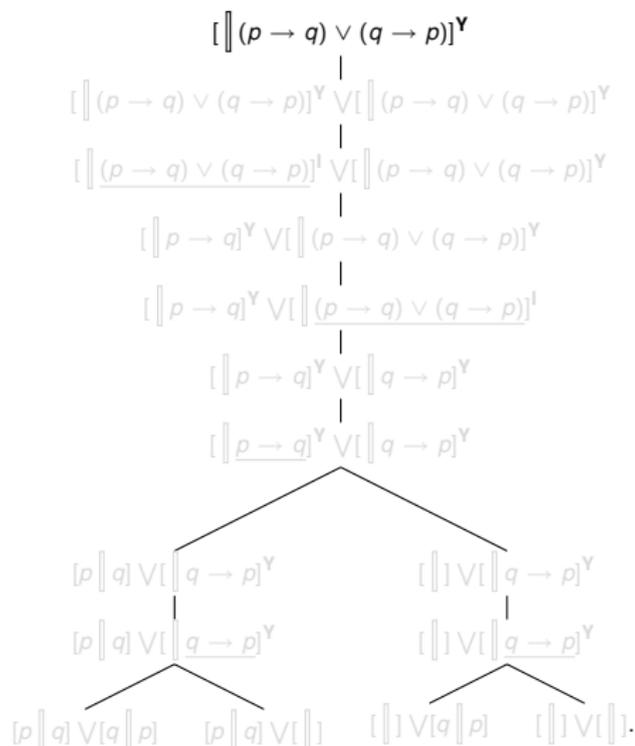
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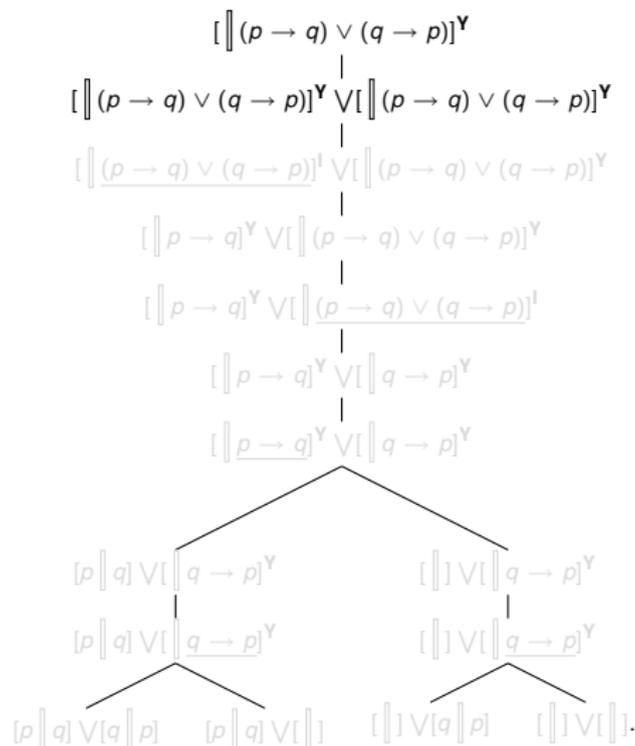
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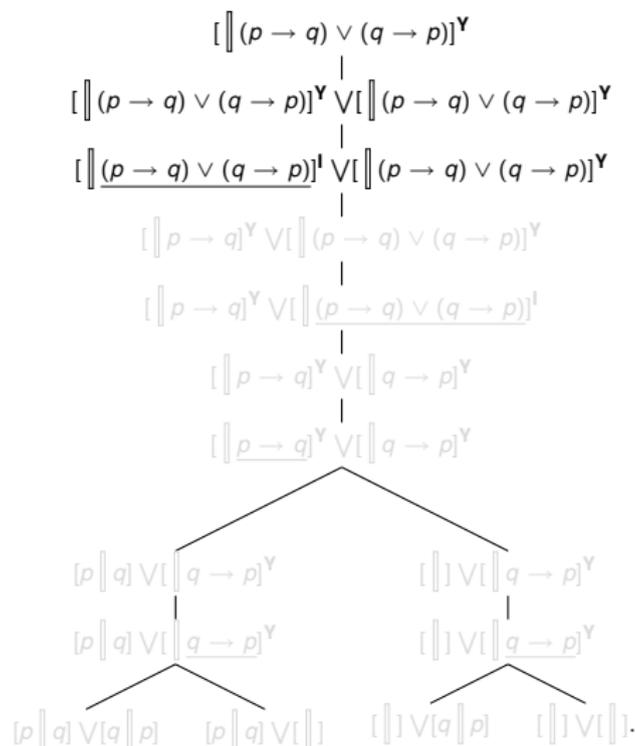
Example



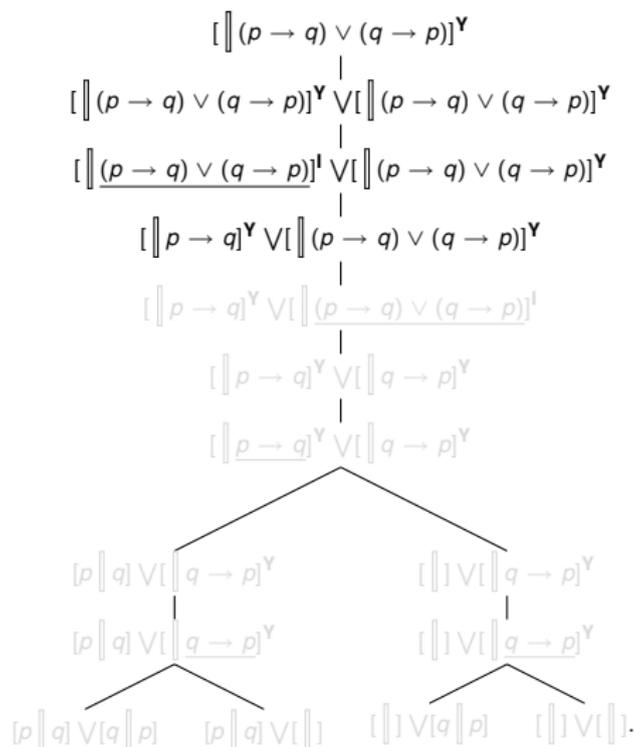
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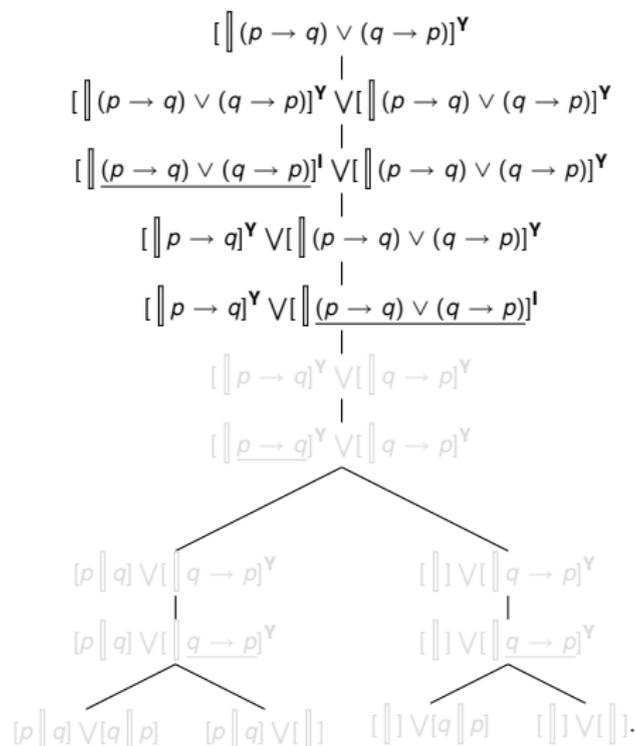
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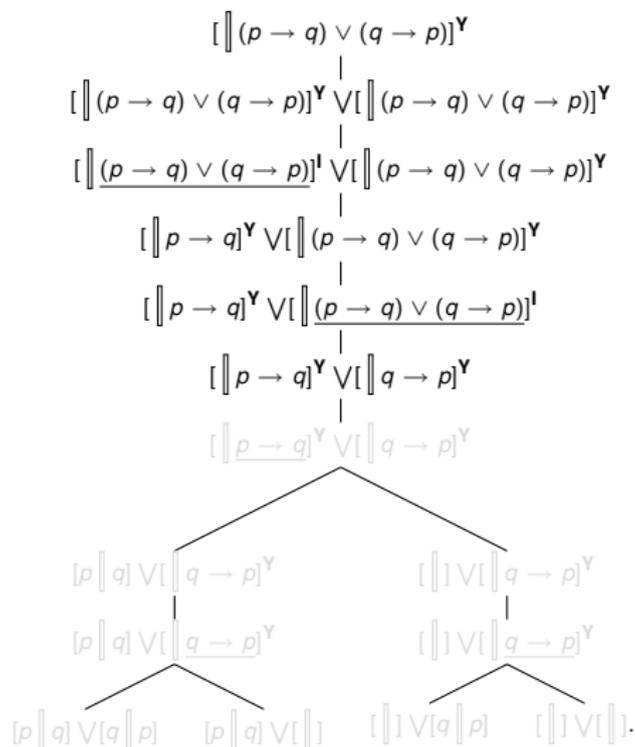
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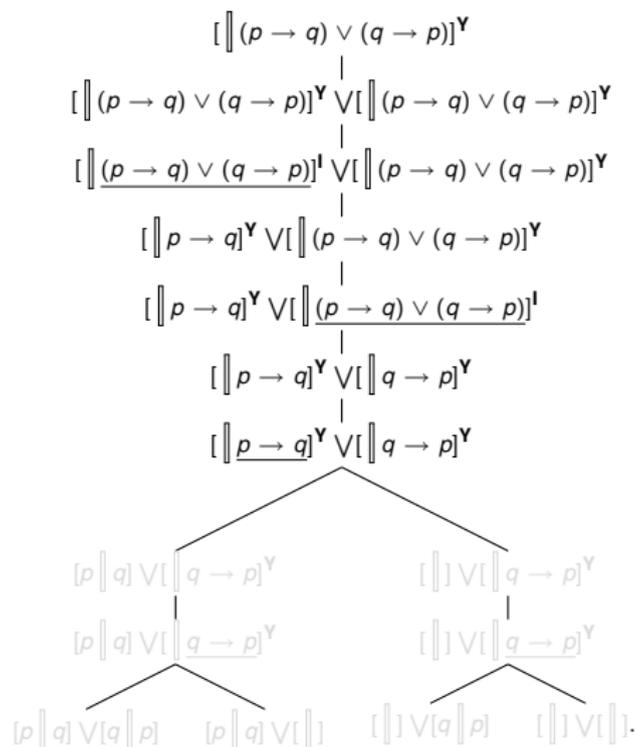
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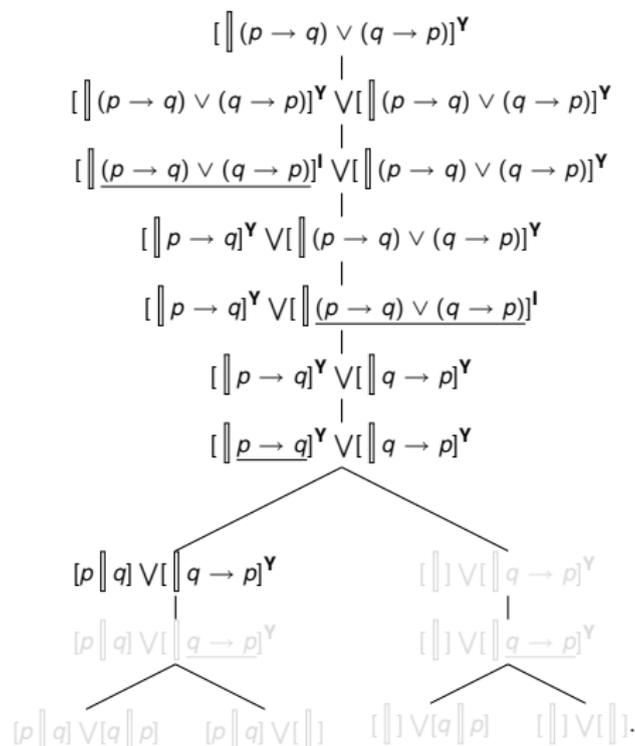
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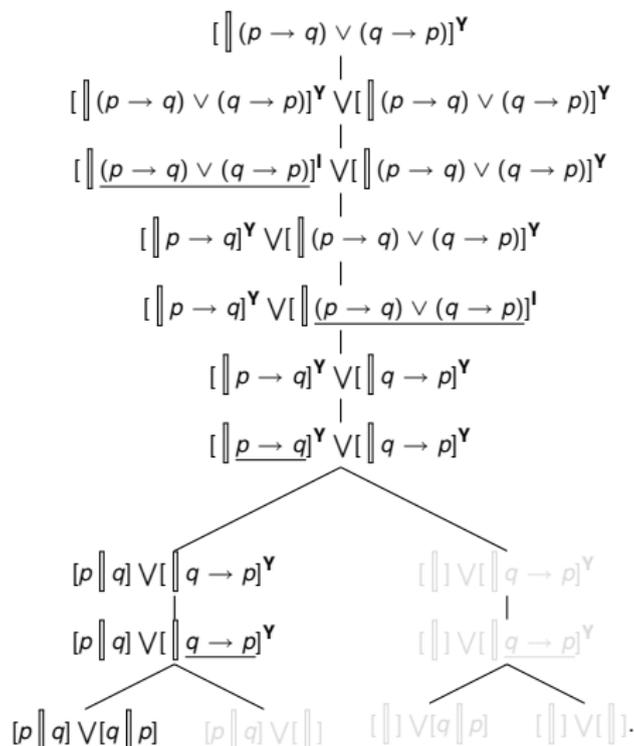
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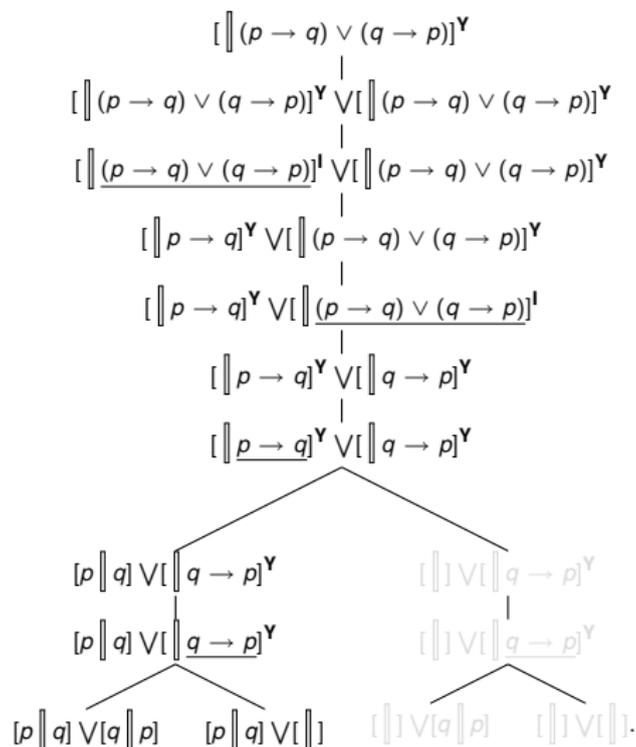
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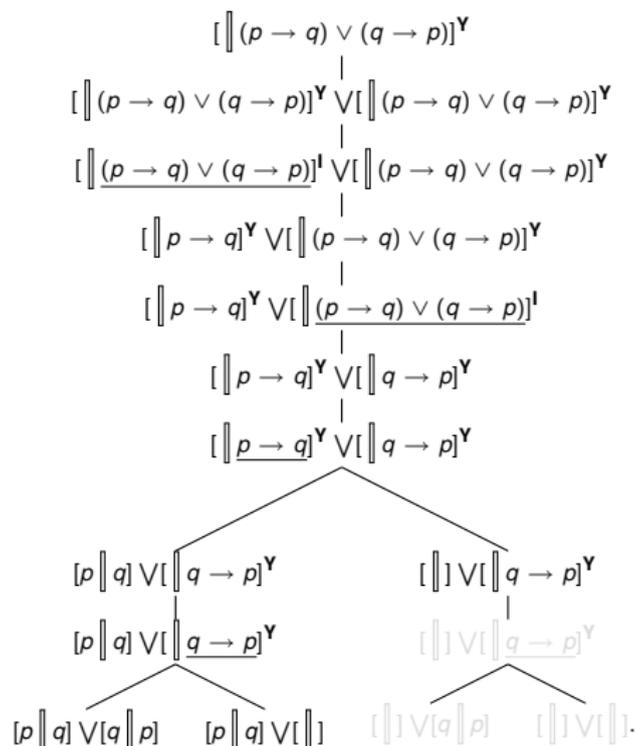
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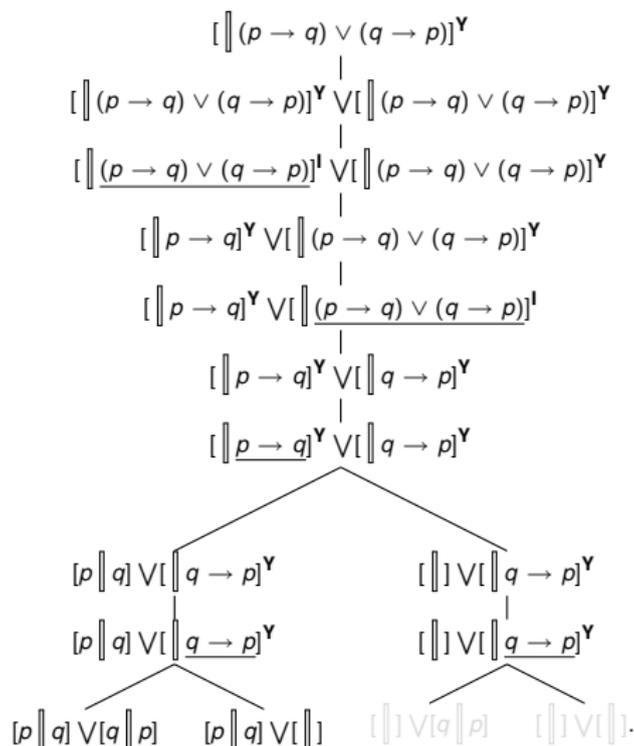
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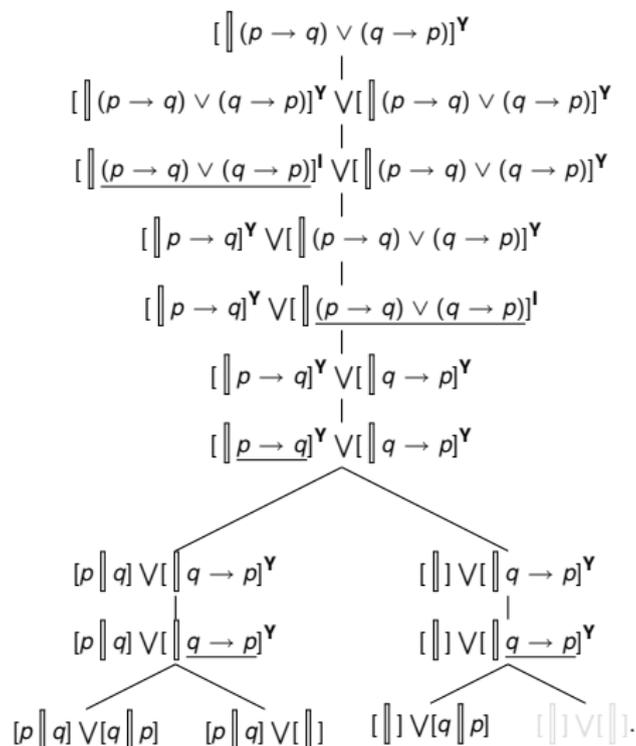
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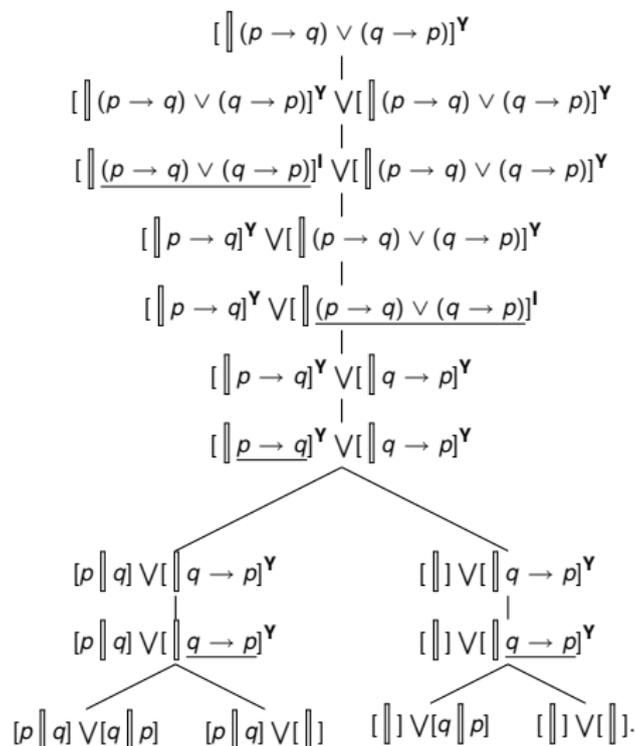
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Theorem (Fermüller and Metcalfe)

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Sequents

A **sequent** is an ordered pair of finite multisets of formulas Γ and Δ , written $\Gamma \Rightarrow \Delta$ (essentially, a *dialogue state*).

The following **sequent rules** represent elements of a strategy:

$$\frac{\Gamma \Rightarrow \Delta}{\Gamma, \varphi \rightarrow \psi \Rightarrow \Delta} \quad \frac{\Gamma, \psi \Rightarrow \varphi, \Delta}{\Gamma, \varphi \rightarrow \psi \Rightarrow \Delta} \quad \frac{\Gamma \Rightarrow \Delta \quad \Gamma, \varphi \Rightarrow \psi, \Delta}{\Gamma \Rightarrow \varphi \rightarrow \psi, \Delta}$$

Let $S\mathcal{L}$ be the **sequent calculus** consisting of these rules plus

$$\frac{\Gamma, \underbrace{\perp, \dots, \perp}_n, \Delta \Rightarrow \Delta, \varphi_1, \dots, \varphi_n}{\Gamma \Rightarrow \Delta} \quad \frac{\Gamma, \varphi \Rightarrow \varphi, \Delta}{\Gamma \Rightarrow \Delta}$$

Theorem (Adamson and Giles)

φ is \mathcal{L} -valid iff $\Rightarrow \varphi$ is derivable in $S\mathcal{L}$.

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A **hypersequent** \mathcal{G} is a finite multiset of sequents, written

$$\Gamma_1 \Rightarrow \Delta_1 \mid \dots \mid \Gamma_n \Rightarrow \Delta_n$$

(essentially, a *state disjunction*).

A. Avron. A constructive analysis of RM.
Journal of Symbolic Logic 52(4) (1987), 939–951.

Disjunctive Strategies as Proofs

Similarly to Adamson and Giles, we have **implication rules**

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, \varphi \rightarrow \psi \Rightarrow \Delta} \quad \frac{\mathcal{G} \mid \Gamma, \psi \Rightarrow \varphi, \Delta}{\mathcal{G} \mid \Gamma, \varphi \rightarrow \psi \Rightarrow \Delta} \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \quad \mathcal{G} \mid \Gamma, \varphi \Rightarrow \psi, \Delta}{\mathcal{G} \mid \Gamma \Rightarrow \varphi \rightarrow \psi, \Delta}$$

We also need **duplication rules**

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \Gamma \Rightarrow \Delta} \quad \frac{\mathcal{G} \quad \dots \quad \mathcal{G}}{\mathcal{G}}$$

Notice: a disjunctive strategy for $[\Gamma \parallel \Delta]$ “is” a proof of $\Gamma \Rightarrow \Delta$ from atomic hypersequents using the implication and duplication rules.

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Theorem (Fermüller and Metcalfe)

The following are equivalent:

- 1 *There is a proof of $\Gamma \Rightarrow \Delta$ from winning atomic hypersequents using the implication and duplication rules.*
- 2 *There exists a disjunctive winning strategy for me for $\mathbf{G}([\Gamma \parallel \Delta], \rho)$ for any consistent regulation ρ .*

The Hypersequent Calculus $G\mathbb{L}$

Axioms

$$\frac{}{\mathcal{G} \mid \varphi \Rightarrow \varphi} \text{ (ID)}$$

$$\frac{}{\mathcal{G} \mid \Rightarrow} \text{ (EMP)}$$

$$\frac{}{\mathcal{G} \mid \perp \Rightarrow \varphi} \text{ (\(\perp\)\(\Rightarrow\))}$$

Structural Rules:

$$\frac{\mathcal{G}}{\mathcal{G} \mid \Gamma \Rightarrow \Delta} \text{ (EW)}$$

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \mid \Gamma \Rightarrow \Delta}{\mathcal{G} \mid \Gamma \Rightarrow \Delta} \text{ (EC)}$$

$$\frac{\mathcal{G} \mid \Gamma_1 \Rightarrow \Delta}{\mathcal{G} \mid \Gamma_1, \Gamma_2 \Rightarrow \Delta} \text{ (WL)}$$

$$\frac{\mathcal{G} \mid \Gamma_1, \Gamma_2 \Rightarrow \Delta_1, \Delta_2}{\mathcal{G} \mid \Gamma_1 \Rightarrow \Delta_1 \mid \Gamma_2 \Rightarrow \Delta_2} \text{ (SPLIT)}$$

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Logical Rules

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G. Metcalfe, N. Olivetti, and D. Gabbay. Sequent and hypersequent calculi for abelian and Łukasiewicz logics. *ACM Transactions on Computational Logic*, 6(3):578–613, 2005.

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$$\frac{\mathcal{G} \mid \Gamma_1, \Gamma_2 \Rightarrow \Delta_1, \Delta_2}{\mathcal{G} \mid \Gamma_1 \Rightarrow \Delta_1 \mid \Gamma_2 \Rightarrow \Delta_2} \text{ (SPLIT)} \quad \frac{\mathcal{G} \mid \Gamma_1 \Rightarrow \Delta_1 \quad \mathcal{G} \mid \Gamma_2 \Rightarrow \Delta_2}{\mathcal{G} \mid \Gamma_1, \Gamma_2 \Rightarrow \Delta_1, \Delta_2} \text{ (MIX)}$$

Logical Rules

$$\frac{\mathcal{G} \mid \Gamma, \psi \Rightarrow \varphi, \Delta}{\mathcal{G} \mid \Gamma, \varphi \rightarrow \psi \Rightarrow \Delta} \text{ ($\rightarrow \Rightarrow$)}_{\mathbb{L}} \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \Delta \quad \mathcal{G} \mid \Gamma, \varphi \Rightarrow \psi, \Delta}{\mathcal{G} \mid \Gamma \Rightarrow \varphi \rightarrow \psi, \Delta} \text{ ($\Rightarrow \rightarrow$)}_{\mathbb{L}}$$

G. Metcalfe, N. Olivetti, and D. Gabbay. Sequent and hypersequent calculi for abelian and Łukasiewicz logics. *ACM Transactions on Computational Logic*, 6(3):578–613, 2005.

The Hypersequent Calculus GŁ

Axioms

$$\overline{\mathcal{G} \mid \varphi \Rightarrow \varphi} \text{ (ID)} \quad \overline{\mathcal{G} \mid \Rightarrow} \text{ (EMP)} \quad \overline{\mathcal{G} \mid \perp \Rightarrow \varphi} \text{ (\perp\Rightarrow)}$$

Structural Rules:

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Example

$$\frac{\frac{\frac{\overline{q \Rightarrow q} \text{ (ID)}}{q, p \Rightarrow p, q} \text{ (MIX)}}{q, q \rightarrow p \Rightarrow p} \text{ } (\rightarrow\Rightarrow)_L}{\frac{\frac{\frac{\overline{q \Rightarrow q} \text{ (ID)}}{q, p \Rightarrow p, q} \text{ (MIX)}}{q, q \rightarrow p, p \Rightarrow p, q} \text{ (WL)}}{q, q \rightarrow p \Rightarrow p, p \rightarrow q} \text{ } (\Rightarrow\rightarrow)_L} \text{ } (\rightarrow\Rightarrow)_L$$
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Some Remarks on First-Order Łukasiewicz Logic

- **First-order Łukasiewicz logic** – where \forall and \exists are interpreted by infs and sups, respectively – is not recursively enumerable.
- Let $G\mathbb{L}\forall$ be $G\mathbb{L}$ extended with standard quantifier rules

$$\frac{\mathcal{G} \mid \Gamma \Rightarrow \varphi(a), \Delta}{\mathcal{G} \mid \Gamma \Rightarrow (\forall x)\varphi(x), \Delta} \quad \frac{\mathcal{G} \mid \Gamma, \varphi(t) \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, (\forall x)\varphi(x) \Rightarrow \Delta} \quad \frac{\mathcal{G} \mid \Gamma \Rightarrow \varphi(t), \Delta}{\mathcal{G} \mid \Gamma \Rightarrow (\exists x)\varphi(x), \Delta} \quad \frac{\mathcal{G} \mid \Gamma, \varphi(a) \Rightarrow \Delta}{\mathcal{G} \mid \Gamma, (\exists x)\varphi(x) \Rightarrow \Delta}$$

where a is a free variable not occurring in the premises.

- $G\mathbb{L}\forall$ extended with a cut rule is complete with respect to algebraic semantics but does not admit cut-elimination.
- However, a first-order formula φ is \mathbb{L} -valid iff $\perp \Rightarrow \overbrace{\varphi, \dots, \varphi}^n$ is derivable in $G\mathbb{L}\forall$ for all $n \geq 1$.

M. Baaz and G. Metcalfe. Herbrand's Theorem, Skolemization, and Proof Systems for Łukasiewicz Logic. *Journal of Logic and Computation* 20 (2010), 35–54.

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Concluding Remarks

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